



JCCB Rec'd PCT/PTO 11 OCT 2005 PATENT  
Attorney Docket No.: AVIOR-09657  
PCT #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jie Mi, *et al.*  
Serial No.: 10/511,918  
Filed: 18 October 2004  
Entitled: Adenovirus Vectors for Immunotherapy

Group No.:  
Examiner:

**RESPONSE TO NOTIFICATION OF  
MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED  
OFFICE (DO/EO/US) MAILED 07 JULY 2005**

**MAIL STOP MISSING PARTS**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)(1)(i)(A)**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: **Mail Stop Missing Parts**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 7, 2005

By: Susan M. McClintock  
Susan M. McClintock

Sir or Madam:

In response to the **Notification Of Missing Requirements Under 35 U.S.C. 371 In the United States Designated/Elected Office (DO/EO/US)** mailed **07 July 2005** (a copy of which is enclosed), applicants submit the following documents:

- Executed Declaration or Oath;
- Power Of Attorney By Assignee; and
- Request for Extension of Time for filing within the first month.

A check in the amount of **\$125.00** is enclosed herewith to cover:

**\$65.00** Surcharge for filing missing parts of an application  
**\$60.00** Fee for filing a one-month extension of time

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s), and/or credit any overpayment, to **Deposit Account No. 08-1290**. An originally executed duplicate of this transmittal is enclosed for this purpose.

Date: October 7, 2005

10/18/2005 MKAYPAGH 00000085 10511918

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65.00 OP

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## UNITED STATES PATENT AND TRADEMARK OFFICE

MAD

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/511,918	FIRST NAMED APPLICANT Jie Mi	ATTY. DOCKET NO. AVIOR-09657
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INTERNATIONAL APPLICATION NO.
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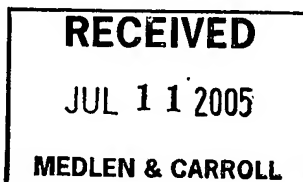
PCT/US03/13560

I.A. FILING DATE	PRIORITY DATE
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04/30/2003

04/30/2002

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 SAN FRANCISCO, CA 94105



CONFIRMATION NO. 6412  
 371 FORMALITIES LETTER



\*OC000000016454486\*

Date Mailed: 07/07/2005

Recd 9/17/05 ew

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/18/2004
- U.S. Basic National Fees filed on 10/18/2004
- Priority Documents filed on 10/18/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,918	PCT/US03/13560	AVIOR-09657

FORM PCT/DO/EO/905 (371 Formalities Notice)